



Synergistically enhanced thermoelectric properties in *n*-type $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ through inducing resonant levels

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ABSTRACT

Oxygen-containing semiconductors are considered to be promising thermoelectric materials because of the high physicochemical stability. New layered oxyselenide $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ possesses intrinsic low lattice thermal conductivity and can be modulated to *n*-type semiconductor through halogen doping, which is considered as a potential *n*-type thermoelectric oxide. In this work, first-principles calculations are utilized to obtain the electronic and phonon band structures for deeply recognizing the transport features of intrinsic $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$. Furthermore, Nb is selected as donor dopant in $\text{Bi}_6\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$, realizing a significantly improved power factor of $\sim 4.3 \mu\text{V cm}^{-1} \text{K}^{-2}$, which originates from the simultaneously optimized carrier concentration by effective doping and strengthened effective mass by inducing resonant levels around Fermi level. Meanwhile, the enhancement of phonon scattering are introduced, leading to a low lattice thermal conductivity of $\sim 0.68 \text{ W m}^{-1} \text{K}^{-1}$. Finally, thanks to the effective doping of Nb and the introduction of resonant levels, a maximum $ZT \sim 0.4$ at 873 K and an average $ZT \sim 0.21$ from 303 to 873 K can be obtained in *n*-type $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$. This study broadens the applicability of resonant levels to optimize performances of thermoelectric oxides, and promotes the potential application of *n*-type $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ -based thermoelectric materials in medium temperature range.

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1. Introduction

Thermoelectric (TE) technology has been regarded as a promising method to cope with the current energy crisis and environmental pollution through converting waste heat into electric power directly and reversely [1–3]. However, its widespread application is limited by the relatively poor conversion efficiency. The performance of thermoelectric materials is appraised by the dimensionless figure of merit ZT defined as $ZT = (\sigma S^2 T)/\kappa$, which derives from electrical conductivity (σ), Seebeck coefficient (S), thermal conductivity (κ) and absolute temperature (T) [4–6]. Therefore, to acquire larger ZT , various strategies are designed to improve electrical transport properties including electrical conductivity and Seebeck coefficient, or to reduce thermal conductivity.

Compared with progressive TE alloys, which usually contain toxic or expensive metal elements such as lead [7–9]/tellurium [10–12]/antimony [13–15], oxide-based semiconductors are composed of earth-abundant, nontoxic and nonvolatile elements,

which have the high physicochemical stability at high temperature [2,16–18]. Therefore, they are considered as potential TE candidates for practical applications. However, the ZT values of TE oxides, such as SrTiO_3 [19], $\text{Ca}_3\text{Co}_4\text{O}_9$ [20,21], ZnO [22], In_2O_3 [23,24], et al. are still unsatisfactory because of the ordinary electrical conductivity σ (~ 100 – 200 S cm^{-1}) and relatively high thermal conductivity κ (~ 2 – $10 \text{ W m}^{-1} \text{K}^{-1}$). *P*-type layered oxyselenide, i.e. BiCuSeO , exhibits extremely low intrinsic thermal conductivity ($\sim 0.4 \text{ W m}^{-1} \text{K}^{-1}$ at 923 K) and adjustable electrical conductivity [2,16]. After optimization, the peak ZT value was obtained in Pb and Ca dual-doped sample (~ 1.5 at 873 K) [25]. However, researches and attempts on *n*-type BiCuSeO were still unsatisfactory because stable *n*-type transport properties over the whole temperature range have not been obtained [26,27]. Most recently, by intercalating BiCuSeO with another oxyselenide $\text{Bi}_2\text{O}_2\text{Se}$, a new intercalation compound $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ was successfully synthesized [28]. In previous work, stable *n*-type transport properties have been realized in $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ by doping halogen at Se sites [29], and the peak ZT value was further enhanced to ~ 0.16 at 873 K through doping transition metal element at Bi sites [30].

Inducing resonant level (RL), giving rise to a local distortion of the electronic density of states, is considered as an effective

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way to optimize the thermoelectric properties of semiconductors. A prominent enhancement in Seebeck coefficient caused by RL can be observed when the chemical potential resides in this distortion due to the increased density of states (DOS) [31]. RL has its own unique advantages in optimizing thermoelectric material ZT: (1) this method solves the contradiction between electrical conductivity (σ) and Seebeck coefficient (S) caused by carrier concentration (n), and enhances S purposefully by optimizing effective mass (m^*), often achieving the synergistic optimization of electric transport properties; (2) this method is independent of phonon properties, implying that improvements in ZT induced by reducing the lattice thermal conductivity (κ_{lat}) can work in conjunction with the RL mechanism [32]; (3) this method is relatively easy to implement compare with other band structure modification methods such as band convergence, band alignment, et al. RL has been proven to be effective in many advanced thermoelectric systems, such as TI-doped PbTe [33–35], In-doped SnTe [36,37] and GeTe [38], Sn-doped Bi₂Te₃ [39] and As₂Te₃ [40], and half-Heusler alloys [41,42]. However, RL has never been used as a means of optimizing thermoelectric properties in thermoelectric oxides.

In this work, to deeply understand the physical properties of intrinsic Bi₆Cu₂Se₄O₆, first-principles calculations were conducted to evaluate electron and phonon transport properties based on the electronic and phonon band structures. Then, based on the previous researches [29,30], Bi₆Cu₂Se_{3.6}Cl_{0.4}O₆ was chosen as the matrix, and the selective transition metal element Nb was doped at Bi sites to modify the insulating [Bi₂O₂]²⁺ layers. A pentavalent Nb⁵⁺ can give two extra electrons to the matrix when it supercedes a Bi³⁺. In addition, Nb atom has less electronegativity than Bi, so can easily extract electrons. Increased electron carriers push the Fermi level deeper into the conduction band, so that the electrical transports can be optimized. Moreover, Nb-substitution creates an additional resonant level around the Fermi level, which is beneficial for a larger effective mass. The multiple effects on electronic band structure from Nb-doping are schematically elucidated in Fig. 1(a). Due to the successively designed strategies mentioned above, the power factor (PF) at room temperature was enhanced from $\sim 0.03 \mu\text{W cm}^{-1} \text{K}^{-2}$ to $\sim 1.4 \mu\text{W cm}^{-1} \text{K}^{-2}$. Simultaneously, Nb-substitution strengthens the phonon scattering, thereby reduces lattice thermal conductivity from $\sim 1.14 \text{ W m}^{-1} \text{K}^{-1}$ to $\sim 1.07 \text{ W m}^{-1} \text{K}^{-1}$ at room temperature (Fig. 1(b)). Synchronously enhanced electrical and thermal transport properties contribute to a significant enhancement of quality factor (B) over the whole temperature range (Fig. 1(c)). Finally, the ZT_{max} of ~ 0.4 at 873 K and ZT_{ave} of ~ 0.21 from 303 K to 873 K are achieved in Bi_{5.91}Nb_{0.09}Cu₂Se_{3.6}Cl_{0.4}O₆, demonstrating a 450% increase compared with the Nb-free sample (Fig. 1(d)).

2. Experimental section

High-purity powder raw materials of Bi₂O₃, Bi, Cu, Se, BiOCl and Nb₂O₅ with stoichiometric ratio were weighted and cold pressed into pellets. The purity of each raw material along with the supplier can be found in the Supporting Information (SI). The acquired pellets were flame-sealed in silica tubes under vacuum, and slowly heated to 1023 K in 7 h and maintained for 12 h to process solid state reaction (SSR), then cooled to room temperature. The obtained products were crushed into powder and subsequently densified by spark plasma sintering (SPS-211Lx). The phases were identified by powder X-ray diffraction (PXRD) using Cu K α radiation. X-ray photoelectron spectroscopy (XPS) measurement was carried out with a Thermo Scientific ESCALAB 250 Xi spectrometer. The transmission electron microscopy (TEM) analysis is obtained from JEM F200 (JEOL), and scanning transmission electron microscopy (STEM) analysis is conducted using a JEM-ARM200F (JEOL) microscope working at 200 KV. The electrical conductivity

and Seebeck coefficient were measured in a helium atmosphere by Cryoall CTA. The Hall coefficients were measured using Lake Shore 8400 by Van der Pauw method. The thermal diffusivity coefficient D was measured by Netzsch LFA457 via the laser flash diffusivity method. Ultrasonic Pulser/Receiver Model 5900 PR was used to measure the longitudinal and transverse acoustic velocities. The electronic band structure and phonon distribution were calculated by first-principles calculations. More experimental details can be found in the SI.

3. Results and discussions

3.1. Intrinsic properties of Bi₆Cu₂Se₄O₆

Fig. 2(a) presents the crystal structure of Bi₆Cu₂Se₄O₆. Tetragonal Bi₆Cu₂Se₄O₆ possesses a two-dimensional layered structure with the space group $P4/nmm$. It can be regarded as an intercalated compound formed by alternating stacking of BiCuSeO and Bi₂O₂Se in a ratio of 1:2. Similar like BiCuSeO and Bi₂O₂Se, the insulating [Bi₂O₂]²⁺ layers serve as charge reservoirs, while conductive [Cu₂Se₂]²⁻/[Se]²⁻ layers act as the pathway to transfer carriers [2,43]. To further understand the electrical transport properties, the electronic band structure and projected DOS of pristine Bi₆Cu₂Se₄O₆ was calculated via first-principles calculations and shown in Fig. 2(b). According to the electronic band structure, the valence band maximum (VBM) and the conduction band minimum (CBM) are situated along Γ -M and Γ point, suggesting that Bi₆Cu₂Se₄O₆ is an indirect narrow band gap (~ 0.46 eV) semiconductor, which is corresponding to previous report (~ 0.48 eV) [30]. Obviously, the CBM along Γ -Z direction is flattened, while along Γ -X direction is very sharp, indicating a strong anisotropic effective mass of CBM and a excellent carrier mobility in the inplane direction. Interestingly, a sub-minimum of conduction band can be found around Z point with smaller energy above CBM around Γ point, suggesting a potential multi-band transport of electrons with suitable n -type doping. As observed from the projected density of states (PDOS), the conduction band is mostly contributed by Bi, and the valence band mainly originate from the rest atoms. Therefore, the conduction band can be modified by alloying other elements at Bi sites to improve n -type transport properties.

The crystal structure of this new compound was further probed by electron microscopy images presented in Fig. 3. The size of grains was observed by backscattered electron image (BEI, Fig. 3(a)). The grain size of the sample is roughly distributed in the range of $\sim 30 - 50 \mu\text{m}$. The plate-like structure of Bi₆Cu₂Se₄O₆ can be obviously exhibited in the morphology image (Fig. 3(b)). As can be seen in high-resolution transmission electron microscopy (HRTEM, Fig. 3(c)), Bi₂O₂Se and Bi₂O₂Cu₂Se₂ layers can be observed to stack alternately, which is consistent with the structure described above. The selected area electron diffraction (SAED) pattern along [100] zone axis is presented in Fig. 3(d). The typical experimental patterns indexed and well consistent with the simulated patterns shown in Fig. S1(c). The interlayer distance between diffraction spots is determined as 2.54 1/nm. The high-angle annular dark field scanning transmission electron microscopy (HAADF STEM) image (Fig. 3(e)) not only displays the position of atomic columns, but also provides information about local composition at atomic scale. As shown in the enlarged image (Fig. 3(f)), the visibly strong contrasts can be observed between Bi/Se and Cu/O atoms because of large differences in atomic numbers ($Z_{\text{Bi}} = 83$, $Z_{\text{Cu}} = 29$, $Z_{\text{Se}} = 34$, $Z_{\text{O}} = 8$). The structural model of Bi₆Cu₂Se₄O₆ was put in the top right corner of Fig. 3(f), and atoms in the image correspond to the structure one by one. Atomic columns are marked in Fig. 3(f) to show the alternating stacking of [Bi₂O₂]²⁺, [Cu₂Se₂]²⁻ and [Se]²⁻ layers in strict order, further verifying the accuracy of the crystal structure discussed above. In summary, these observa-

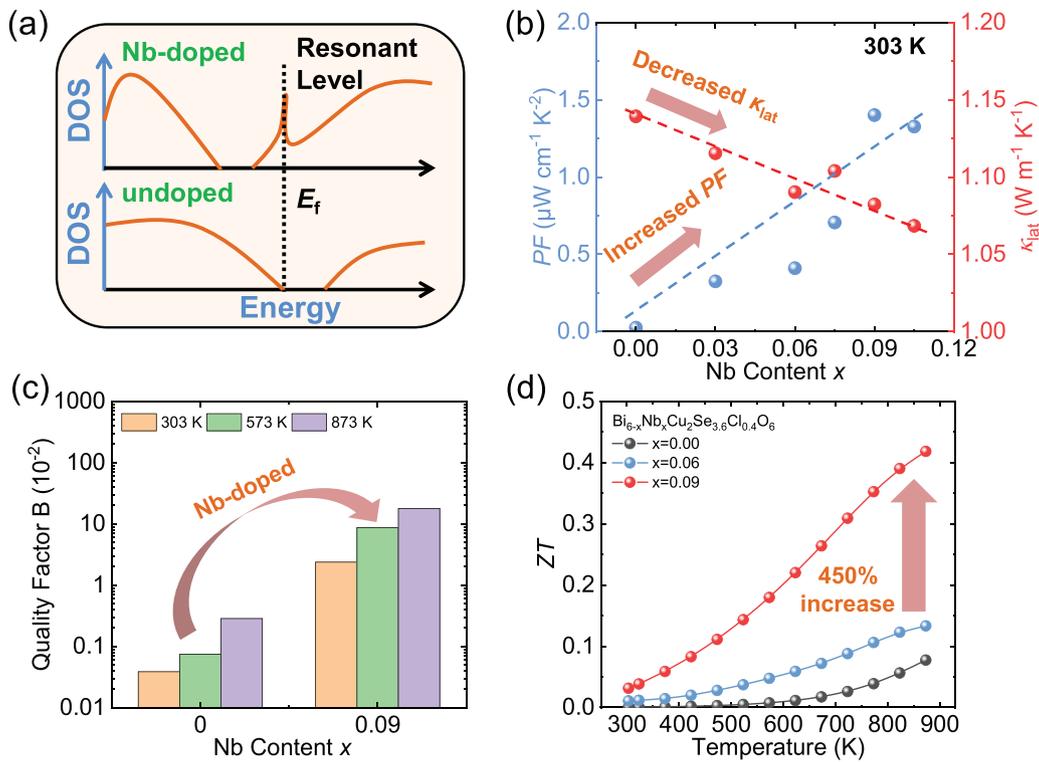


Fig. 1. Nb doping synergistically optimizes carrier and phonon transport properties of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$: (a) schematic mechanisms of adjustment of density of states by Nb doping; (b) power factor (PF) and lattice thermal conductivity at 303 K varying with Nb content; (c) optimized quality factor (B) over the entire temperature range; (d) sharply enhanced ZT values varying with temperature.

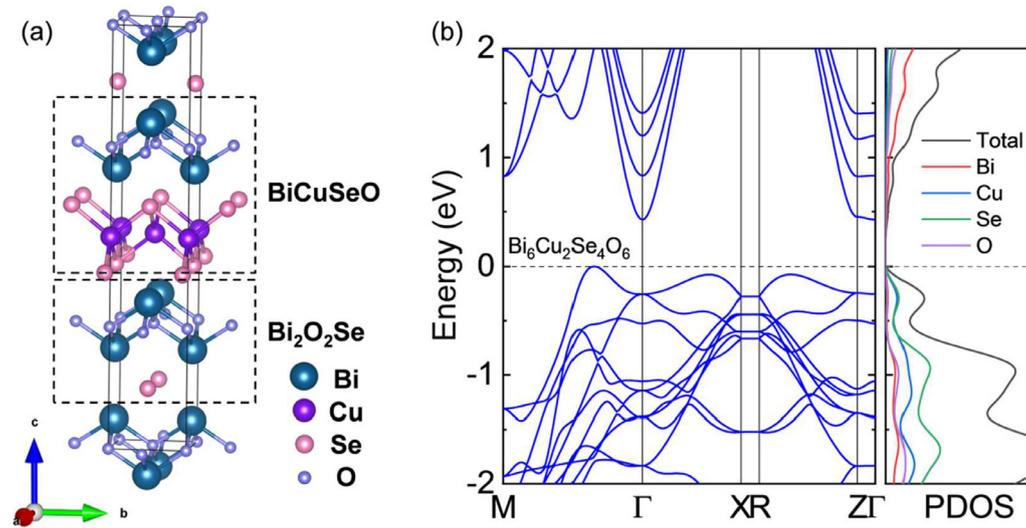


Fig. 2. (a) The crystal structure and (b) calculated electronic band structure and projected density of states (PDOS) of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$.

tions from TEM are different from existing BiCuSeO and $\text{Bi}_2\text{O}_2\text{Se}$, indicating the successful synthesis of new intercalation compounds $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$.

In previous research of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$, the relatively low intrinsic lattice thermal conductivity κ_{lat} is noteworthy [29,30], which is believed to result from the phonon transport properties in those typical layered crystal structure. Therefore, to deeply understand the thermal transport properties of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$, the phonon spectrum was quantified via density functional theory (DFT) calculations within the quasi-harmonic approximation. Fig. S2(a) presents phonon modes along the high symmetry lines of first Brillouin zone, in which optic phonon branches presented by black lines has

higher frequency and the rest three are acoustic phonon branches. The corresponding atomic phonon density of states (PhDOS) are revealed in Fig. S2(b). As can be observed, the high frequency optic branches are mainly contributed by O, and the low frequency optic and acoustic branches mainly originate from the rest atoms. Because lattice heat conduction is dominated by the low frequency optic and acoustic phonon branches, the low frequency part of the spectrum is magnified and shown in Fig. 4(a). Obviously, the flatter phonon modes can be observed in Γ -Z direction, so the velocity (listed in Table 1) of Γ -Z direction is smaller than Γ -X direction. Moreover, The acoustic modes boundary frequency is relatively low as listed in Table 1, implying the soft modes related

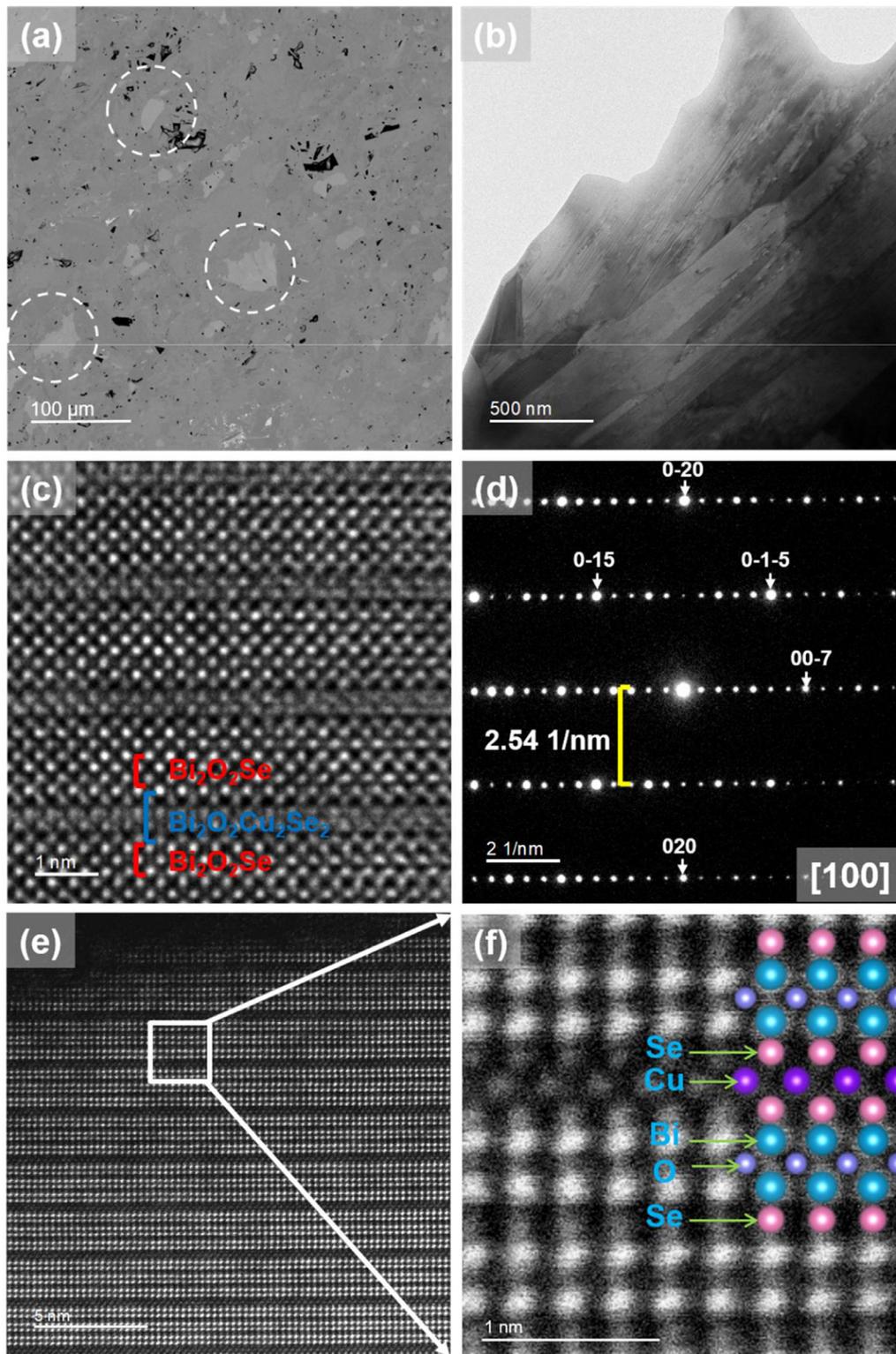


Fig. 3. Structural characterizations of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$: (a) backscattered electron image, several typical grains are highlighted by white dashed squares; (b) morphology image; (c) high-resolution transmission electron microscopy image; (d) selected area electron diffraction patterns along $[100]$ zone axis; (e) high-angle annular dark field scanning transmission electron microscopy image with (f) the enlarged one.

to weak chemical bonding, low Debye temperature, and strong anharmonicity [44,45]. At Γ point, the low frequency optic branches suppress the acoustic branches resulting in the coupling between the optic and acoustic branches, thereby intensifying the phonon-phonon scattering [46–48]. The Grüneisen parameter (γ) is often used to reflect phonon vibrations in unit cell, reflecting the anhar-

monicity [49,50]. Accordingly, this acoustic-optic coupling leads to larger γ in the low-frequency region (Fig. 4(b)).

To obtain the quantitative anharmonicity along different directions, the mode Grüneisen parameters are depicted in Fig. 4(c) and the averaged values can be gotten in Table 1. Consistent with the above discussion, the large γ is obtained in both Γ -Z and Γ -X di-

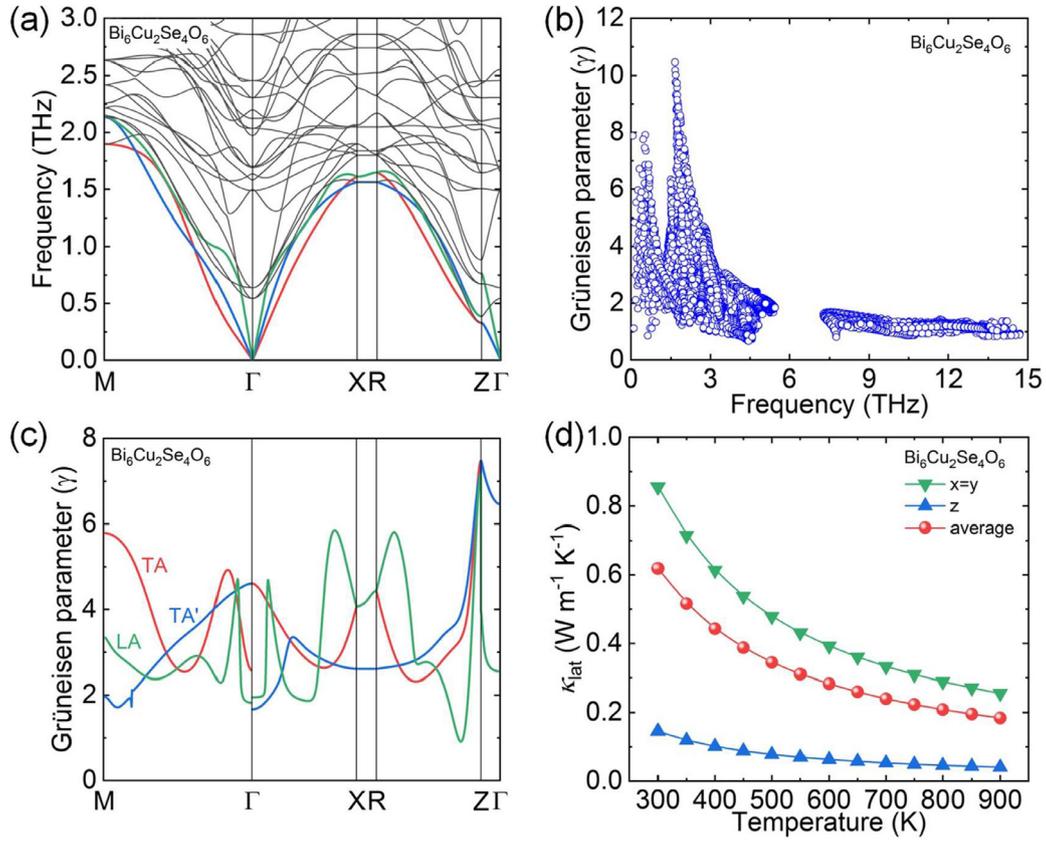


Fig. 4. Calculated (a) low-frequency phonon dispersion, (b) mode Grüneisen parameters, (c) Grüneisen dispersions and (d) anisotropic lattice thermal conductivity for $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ calculated from Debye-Callaway model.

Table 1

The phonon group velocity, cutoff frequency and averaged Grüneisen Parameter for transverse (TA, TA') and longitudinal (LA) acoustic phonon modes along Γ -X and Γ -Z directions.

	Velocity (m s^{-1})		Frequency (THz)		Grüneisen Parameter	
	Γ -X	Γ -Z	Γ -X	Γ -Z	Γ -X	Γ -Z
TA	1710	1635	1.61	0.33	3.35	6.82
TA'	2423	1635	1.56	0.33	2.62	6.82
LA	3736	3510	1.61	0.77	3.32	2.81

Table 2

Comparisons of theoretical and experimental elastic properties of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$.

	Theoretical value	Experimental value
Longitudinal wave velocity v_l (m s^{-1})	3795	3693
Transverse wave velocity v_s (m s^{-1})	1932	1963
Average wave velocity v_a (m s^{-1})	2165	2193
Bulk modulus K (GPa)	88.4	74.5
Shear modulus G (GPa)	35.0	34.4
Young modulus E (GPa)	92.8	89.4
Poisson ratio ν_p	0.32	0.30
Grüneisen parameter γ	1.90	1.77
Debye temperature θ_D (K)	248	259

rections due to the soft modes, implying stronger anharmonicity and low lattice thermal conductivity. The theoretical and experimental elastic properties of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ are listed in Table 2 and present a good accordance with each other. Distinctly, $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ possesses a small Young modulus E , a large Grüneisen parameter γ and a low Debye temperature θ_D , implying a low lattice thermal

conductivity κ_{lat} according to the following Eqs. (1) and (2) [47,51–53]:

$$\kappa_{\text{lat}} = \frac{3.0 \times 10^{-5} \overline{M}_a a^{1/3} \theta_D^3}{T \gamma^2 v^{2/3}} \quad (1)$$

$$\kappa_{\text{lat}} \propto \frac{\rho^{1/6} E^{1/2}}{\overline{M}_a^{-2/3}} \quad (2)$$

where \overline{M}_a is the mean atomic weight, a is the average volume occupied by one atom, v is the atoms number of the primitive cell, ρ is the sample density, respectively. Finally, the κ_{lat} varying with temperature quantified via Debye-Callaway model is shown in Fig. 4(d). The κ_{lat} is anisotropic, and the extremely low κ_{lat} is obtained in both x -axis ($\sim 0.26 \text{ W m}^{-1} \text{ K}^{-1}$ at 900 K) and z -axis ($\sim 0.04 \text{ W m}^{-1} \text{ K}^{-1}$ at 900 K). The calculated average κ_{lat} varies from $\sim 0.62 \text{ W m}^{-1} \text{ K}^{-1}$ at 300 K to $\sim 0.18 \text{ W m}^{-1} \text{ K}^{-1}$ at 900 K. According to the above discussion, the causes of intrinsic low κ_{lat} in $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ can be summarized as the acoustic-optic coupling, the soft phonon modes and the strong anharmonicity in layered crystal structure.

3.2. Thermoelectric properties of Nb-doped $\text{Bi}_6\text{Cu}_2\text{Se}_3.6\text{Cl}_{0.4}\text{O}_6$

The powder XRD patterns for $\text{Bi}_{6-x}\text{Nb}_x\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ ($0 \leq x \leq 0.105$) are presented in Fig. 5(a), and the standard card is adopted from calculation results of Rosseinsky et al. [28]. All the major peaks can be well indexed to $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ phase, while the additional minor peak of Nb_2O_5 as the secondary phase can be found in $x = 0.105$ sample as marked with red quadrilateral. The presence of Nb_2O_5 in $x > 0.09$ suggests that the solubility limit of Nb in $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ can be roughly estimated to be 1.5%. Fig. 5(b)

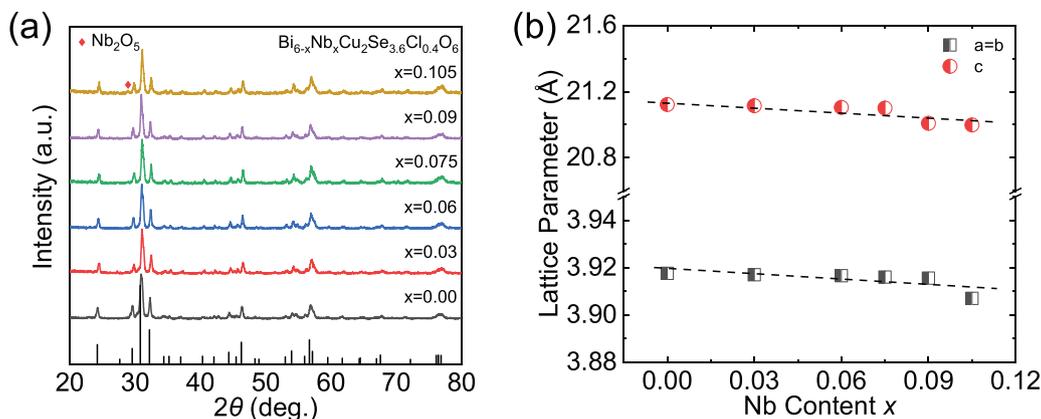


Fig. 5. (a) Powder XRD patterns and (b) lattice parameters of Bi_{6-x}Nb_xCu₂Se_{3.6}Cl_{0.4}O₆ (0 ≤ x ≤ 0.105).

shows the lattice parameters calculated according to XRD patterns varying with Nb content. All the lattice parameters presents the trend of monotonic decrease as the Nb content increases. The unit cell undergoes a regular shrinkage from x = 0 to x = 0.105, which is relevant to the smaller ionic radius of Nb⁵⁺ (~0.70 Å) than Bi³⁺ (~1.08 Å). The monotonically decreasing lattice parameters also indicate that Nb successfully enters the unit cell to substitute Bi.

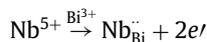
The electrical transport properties of Bi_{6-x}Nb_xCu₂Se_{3.6}Cl_{0.4}O₆ (0 ≤ x ≤ 0.105) are displayed in Fig. 6. It should be emphasized that, according to the previous researches, the testing directions of thermoelectric properties are all along the optimal direction, namely the direction perpendicular to sintering pressure [29,30]. The comparison of thermoelectric properties measured in the directions perpendicular or parallel to sintering pressure is shown in Fig. S3, which proves the correctness of optimal direction selected in this work. As shown in Fig. 6(a), small amount of Nb dopant can significantly enhance the electrical conductivity (σ). The Nb-free sample exhibits semiconducting behavior with extremely low σ from ~6.8 S cm⁻¹ at 303 K to ~26.8 S cm⁻¹ at 873 K; while Nb-doped samples exhibit degenerate semiconductor behavior, and the best σ is obtained in Bi_{5.91}Nb_{0.09}Cu₂Se_{3.6}Cl_{0.4}O₆ from ~406 S cm⁻¹ at 303 K to 140 S cm⁻¹ at 873 K. Further increase of Nb content has an obviously opposite effect on σ because of the existence of Nb₂O₅ secondary phase. Furthermore, Nb doping can also slightly improve the Seebeck coefficient (S), especially in high temperature region (Fig. 6(b)). All the samples display a negative S over the entire temperature range, implying a stable n-type transport behavior. The S value between each Nb-doped sample has little difference, remaining in the range of ~50 μV K⁻¹ to ~170 μV K⁻¹. The largest S value is enhanced from ~160 μV K⁻¹ in Bi₆Cu₂Se_{3.6}Cl_{0.4}O₆ to ~177 μV K⁻¹ in Bi_{5.91}Nb_{0.09}Cu₂Se_{3.6}Cl_{0.4}O₆ at 873 K. The simultaneous enhanced σ and S illustrate that Nb substitution can optimize the electrical transport properties comprehensively. On the basis of the σ and S discussed above, the power factor (PF = σS²) varying with temperature is depicted in Fig. 6(c). Benefiting from the obviously enhanced σ and slightly improved S, the PF is significantly boosted with Nb doping, from ~0.03 μV cm⁻¹ K⁻² at 303 K and ~0.68 μV cm⁻¹ K⁻² at 873 K for Bi₆Cu₂Se_{3.6}Cl_{0.4}O₆ to ~1.4 μV cm⁻¹ K⁻² at 303 K and ~4.3 μV cm⁻¹ K⁻² at 873 K for Bi_{5.91}Nb_{0.09}Cu₂Se_{3.6}Cl_{0.4}O₆.

The electrical conductivity σ and Seebeck coefficient S are two physical quantities coupled by carrier concentration n_H. σ correlates with n_H positively following Eq. (3), while S correlates with n negatively following Eq. (4) [5,54,55]:

$$\sigma = ne\mu \quad (3)$$

$$S = \frac{8\pi^2 k_B^2}{3eh^2} m^* T \left(\frac{\pi}{3n_H} \right)^{2/3} \quad (4)$$

where e, μ, k_B, h and m* represent unit charge, carrier mobility, Boltzmann constant, Planck constant and effective mass, respectively. In order to clarify reasons for the all-round enhancement of electrical transport performance, the relation between S and n_H of Bi_{6-x}Nb_xCu₂Se_{3.6}Cl_{0.4}O₆ (0 ≤ x ≤ 0.105) at 303 K is depicted by the Pisarenko line (Fig. 6(d)). All the experimental S data points distribute above the theoretical line, implying the increase of effective mass m*. Moreover, the deduced effective mass from Eq. (4) based on the measured Hall carrier concentration (n_H) at 303 K are shown in the inset of Fig. 6(d). m* is optimized from ~0.26m₀ to ~0.46m₀ after Nb doping. When Nb⁵⁺ is doped into Bi³⁺ sites, two extra electrons would be introduced into the matrix as presented by the defect chemistry reaction:



The valence states of elements in Bi_{6-x}Nb_xCu₂Se_{3.6}Cl_{0.4}O₆ system can be probed by X-ray photoelectron spectroscopy (XPS) [56–58]. The valence states of Bi, Cu, Se, O and Cl are analyzed in Fig. 7(a)–(e). These elements are present in the system at the expected valence states (Bi: +3, Cu: +1, Se: -2, O: -2, and Cl: +4). The high-resolution spectrum for Nb 3d exhibits two peaks at ~206.5 eV (3d_{5/2}) and ~209 eV (3d_{3/2}) as shown in Fig. 7(f). The binding energy of Nb 3d_{5/2} (~206.5 eV) is consistent with Nb₂O₅ [59], implying that Nb ions shows +5 valence in this system. Although part of Nb exists at 0 valence with the binding energy around ~200.0 eV, the substitution of Nb⁵⁺ for Bi³⁺ still contributes electrons to the matrix, causing an increase in electron carrier concentration. In addition, Nb atom has less electronegativity than Bi, so can easily extract electrons. Hence, Nb⁵⁺ can act as an effective electron donor, and increase n_H from ~1.8 × 10¹⁹ cm⁻³ to ~6.2 × 10¹⁹ cm⁻³ (Fig. 6(e)). Although the improved n would worsen the S value, Nb doping also optimizes m* to compensate for the adverse effects of increased n, thereby leads to the slightly increase of S [60]. The Hall mobility (μ_H) can be also calculated by the measured σ and n_H following Eq. (3) [61], and displayed in Fig. 6(e) varying with Nb content. As can be seen, Nb doping increases μ_H. As shown in Fig. S4(b), the temperature-dependent μ_H clearly reveals the carrier scattering mechanism. The exponents x of -3/2, 3/2, and 1 in μ_H ≈ T^x indicate that the scattering is predominantly acoustic phonon scattering, impurity ionized scattering, and vacancy scattering, respectively [62,63]. After that the vacancies were filled with Nb atoms, Fig. S4(b) shows that the vacancy scattering is eliminated while the acoustic phonon scattering becomes predominant. Therefore, the introduction of Nb can effectively weaken the carriers scattering by occupying the vacancy defects, thus leading to the increase of μ_H.

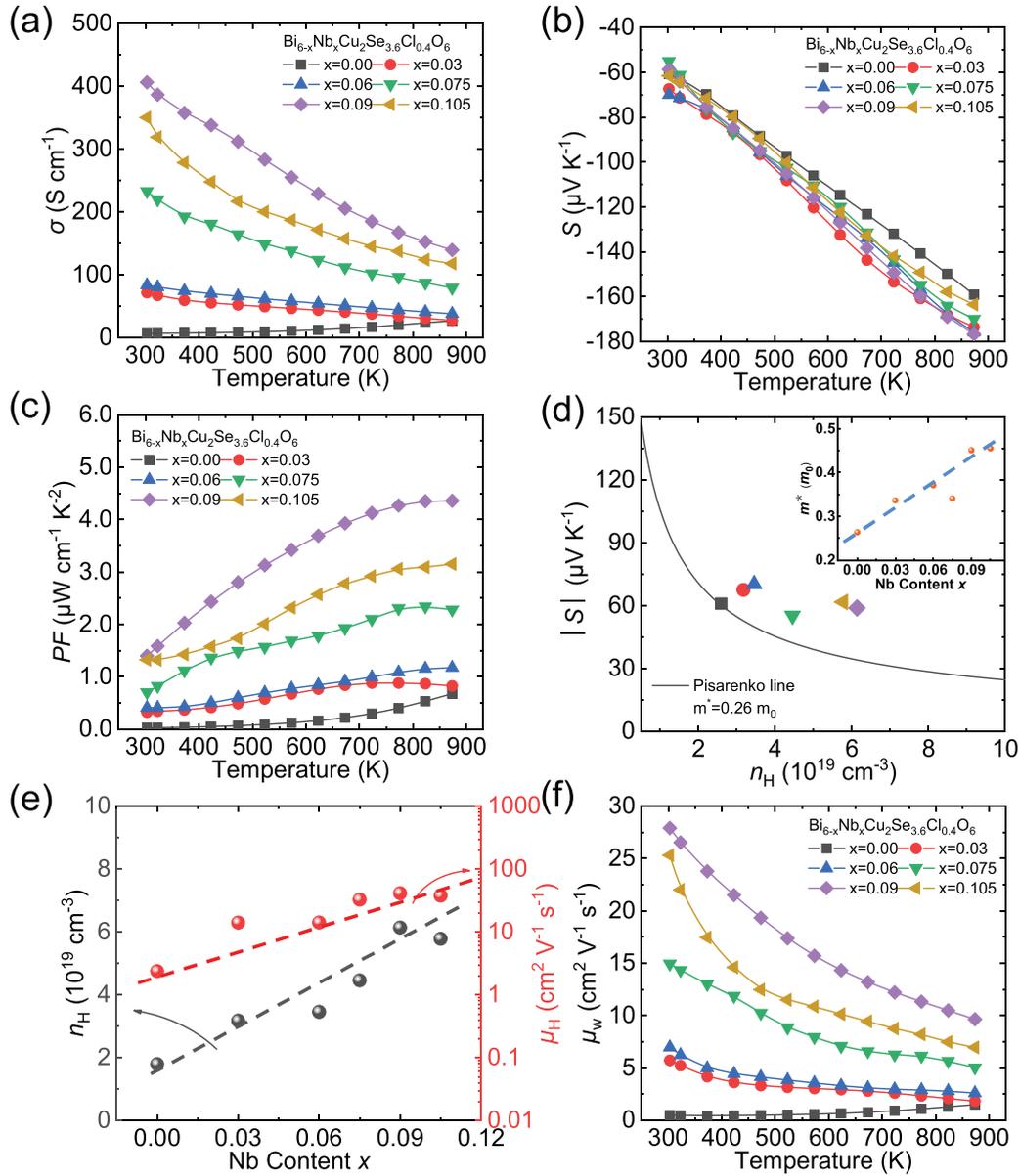


Fig. 6. Electrical transport properties of $\text{Bi}_{6-x}\text{Nb}_x\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ ($0 \leq x \leq 0.105$): temperature-dependent (a) electrical conductivity, (b) Seebeck coefficient and (c) power factor; (d) Pisarenko relationship with effective mass $m^* = 0.26m_0$ at 303 K, and the inset shows m^* changing with Nb content at 303 K; (e) Hall carrier concentration and mobility as a function of Nb content at 303 K; (f) temperature-dependent weighted carrier mobility.

Because both carrier concentration n and effective mass m^* are closely associated with carrier mobility μ , weighted carrier mobility μ_w is introduced to assess the carriers transport properties Fig. 6(f). According to measured σ and S , the μ_w can be calculated by Eq. (5) to (7) [64–67]:

$$\mu_w = \frac{3\sigma}{8\pi eF_0(\eta)} \left(\frac{h^2}{2m_e k_B T} \right)^{3/2} \quad (5)$$

$$F_n(\eta) = \int_0^\infty \frac{x^n}{1 + e^{x-\eta}} dx \quad (6)$$

$$S = \pm \frac{k_B}{e} \left(\frac{(r+5/2)F_{r+3/2}(\eta)}{(r+3/2)F_{r+1/2}(\eta)} - \eta \right) \quad (7)$$

where $F_n(\eta)$, r , and η denotes the Fermi integral, the scattering factor, and the reduced chemical potential, respectively. Considering the main contribution of phonon scattering, r was set to $-1/2$. Small amount of Nb dopant can significantly enhance the μ_w over

the entire temperature range. Relatively high μ_w approaching to $\sim 28 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ (303 K) and $\sim 9.6 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ (873 K) is obtained in $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ with $n_H \sim 6.2 \times 10^{19} \text{ cm}^{-3}$. The large increase of μ_w also marks the optimization of carrier transport properties by Nb doping.

To further explore the influence of Nb-doping on electronic band structure of $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$, the electronic band structures and density of states of $\text{Bi}_{24}\text{Cu}_8\text{Se}_{16}\text{O}_{24}$ and $\text{Bi}_{23}\text{NbCu}_8\text{Se}_{16}\text{O}_{24}$ are calculated by DFT and presented in Fig. 8. Undoped $\text{Bi}_{24}\text{Cu}_8\text{Se}_{16}\text{O}_{24}$ has a Fermi level located near VBM in forbidden band, which is consistent with its semiconductor behavior (Fig. 8(a)). After Nb substitution, the Fermi level of $\text{Bi}_{23}\text{NbCu}_8\text{Se}_{16}\text{O}_{24}$ moves up into the conduction band due to the two electrons introduced, thus exhibiting degenerate semiconductor behavior (Fig. 8(b)). Obviously, a flatten band can be found around the Fermi level, which is mainly contributed by Nb atoms. These flatten bands formed a sharp peak around Fermi level in projected density of states, resulting from the resonant levels, as shown in right panel of

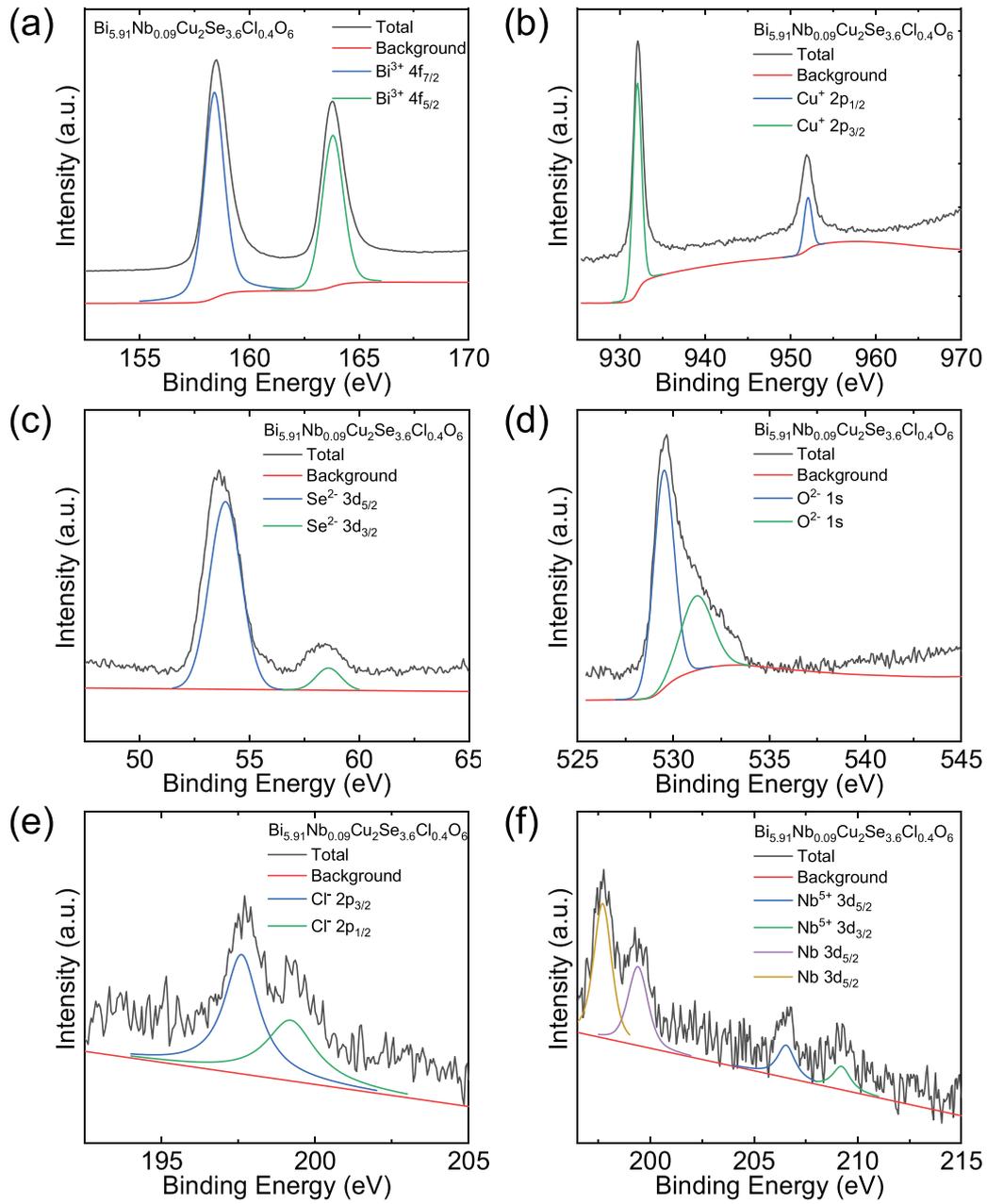


Fig. 7. X-ray photoelectron spectroscopy of $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$: (a) Bi^{3+} $4f_{7/2}$ and $4f_{5/2}$ core states, (b) Cu^+ $2p_{3/2}$ and $2p_{1/2}$ core states, (c) Se^{2-} $3d_{5/2}$ and $3d_{3/2}$ core states, (d) O^{2-} $1s$ core states, (e) Cl^- $2p_{3/2}$ and $2p_{1/2}$ core states, (f) Nb^{5+} $3d_{5/2}$ and $3d_{3/2}$ core states, and Nb $3d_{5/2}$ core states.

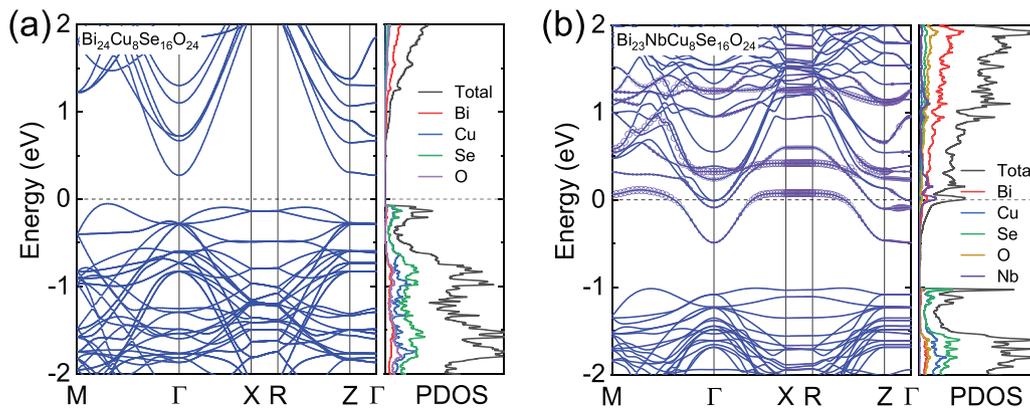


Fig. 8. Calculated electronic band structures and projected DOS in (a) $\text{Bi}_{24}\text{Cu}_8\text{Se}_{16}\text{O}_{24}$ and (b) $\text{Bi}_{23}\text{NbCu}_8\text{Se}_{16}\text{O}_{24}$.

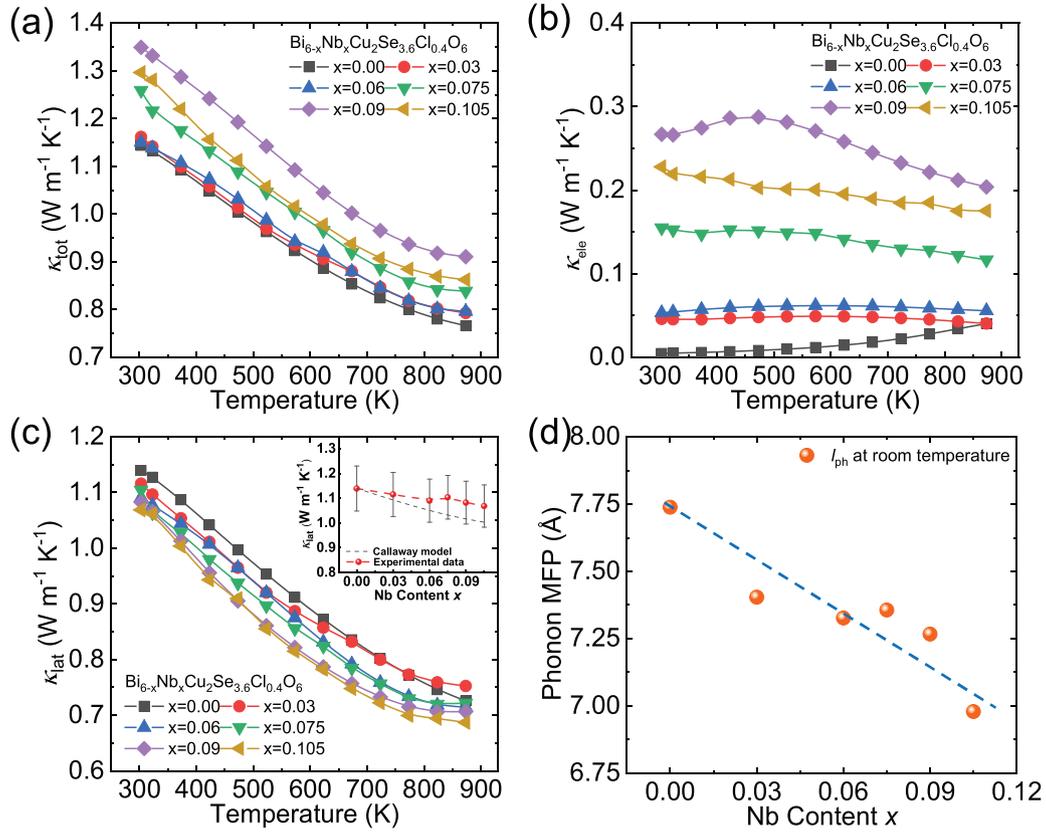


Fig. 9. Thermal transport properties of $\text{Bi}_{6-x}\text{Nb}_x\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ ($0 \leq x \leq 0.105$): temperature-dependent (a) total thermal conductivity, (b) electronic thermal conductivity and (c) lattice thermal conductivity, and the inset shows lattice thermal conductivity at 303 K by experimental data and Callaway model; (d) room-temperature phonon mean free path varying with Nb content.

Fig. 8(b), which is beneficial to the Seebeck coefficient. The enhancement of Seebeck coefficient after Nb doping over the entire temperature range implies the weak temperature dependence of the resonant levels. As the results, Nb doping has double effect on the electrical properties: introducing more electrons into the matrix to optimize electrical conductivity and introducing resonant levels around the Fermi level to enlarge electron effective mass [32], thereby enhance Seebeck coefficient.

The temperature-dependent total thermal conductivity κ_{tot} of $\text{Bi}_{6-x}\text{Nb}_x\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ ($0 \leq x \leq 0.105$) is depicted in Fig. 9(a). The monotonously declining trend of κ_{tot} with rising temperature suggests that phonons make a major contribution to heat conduction. κ_{tot} firstly increases with the rising Nb dopant content, and then decreases when exceeding the solubility limit due to the appearance of Nb_2O_5 second phase. To deeply understand the phonon transport properties, the Wiedemann–Franz law is introduced and expressed by Eqs. (8) and (9) [5]:

$$\kappa_{\text{ele}} = L\sigma T \quad (8)$$

$$\kappa_{\text{tot}} = \kappa_{\text{lat}} + \kappa_{\text{ele}} \quad (9)$$

where L denotes the Lorenz number extracted by fitting the experimental S values to the reduced chemical potential η , and the detailed calculation method can be found in SI. The electronic thermal conductivity κ_{ele} varying with temperature is calculated by Eq. (8) and plotted in Fig. 9(b). There is a drastic increase in κ_{ele} after Nb doping due to the greatly enhanced electrical conductivity σ , from $\sim 0.02 \text{ W m}^{-1} \text{ K}^{-1}$ in $\text{Bi}_6\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ to $\sim 0.28 \text{ W m}^{-1} \text{ K}^{-1}$ in $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ at 303 K.

The lattice thermal conductivity κ_{lat} is subsequently determined following Eq. (9) by directly subtracting κ_{ele} from κ_{tot} . As shown

in Fig. 9(c), κ_{lat} displays a downward trend with the rising Nb content. The lowest κ_{lat} is obtained in $\text{Bi}_{5.895}\text{Nb}_{0.105}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ from $\sim 1.06 \text{ W m}^{-1} \text{ K}^{-1}$ at 303 K to $\sim 0.68 \text{ W m}^{-1} \text{ K}^{-1}$ at 873 K. This decrease is definitely attributed to the point defects of Nb substitution. As the lighter and smaller Nb^{5+} enters into the Bi^{3+} sites, the phonon scattering process is intensified due to the mass fluctuation and the lattice distortion. The theoretical calculations based on the Callaway model [68–70] are carried out to verify the influence of point-defect scattering on κ_{lat} , and the results are shown in the inset of Fig. 9(c). Within the scope of errors, the calculated results exhibit a good accordance with experimental data after Nb doping, indicating that Nb doping can effectively reduce κ_{lat} by strengthening point-defect scattering. To further evaluate the influence on point defects on phonon transport, the phonon mean free path l_{ph} is quantified by Eqs. (10) and (11) [52,71]:

$$v_a = \left[\frac{1}{3} \left(\frac{1}{v_l^3} + \frac{2}{v_t^3} \right) \right]^{-\frac{1}{3}} \quad (10)$$

$$\kappa_{\text{lat}} = \frac{1}{3} C_v v_a l_{\text{ph}} \quad (11)$$

Here v_a represents average sound speed calculated by the longitudinal (v_l) and transverse (v_t) sound speed measured at room temperature. C_v denotes heat capacity per unit volume determined by heat capacity under constant pressure C_p and sample density ρ via $C_v = C_p \rho$. The l_{ph} varying with Nb content at 303 K is presented in Fig. 9(d). The l_{ph} shows a continuous decline from $\sim 7.74 \text{ \AA}$ for $\text{Bi}_6\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ to $\sim 6.98 \text{ \AA}$ for $\text{Bi}_{5.895}\text{Nb}_{0.105}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$, decreased by 10%. The apparent decrease of l_{ph} leads to the declining κ_{lat} , manifesting the intensified phonon scattering introduced by the point defects after Nb doping.

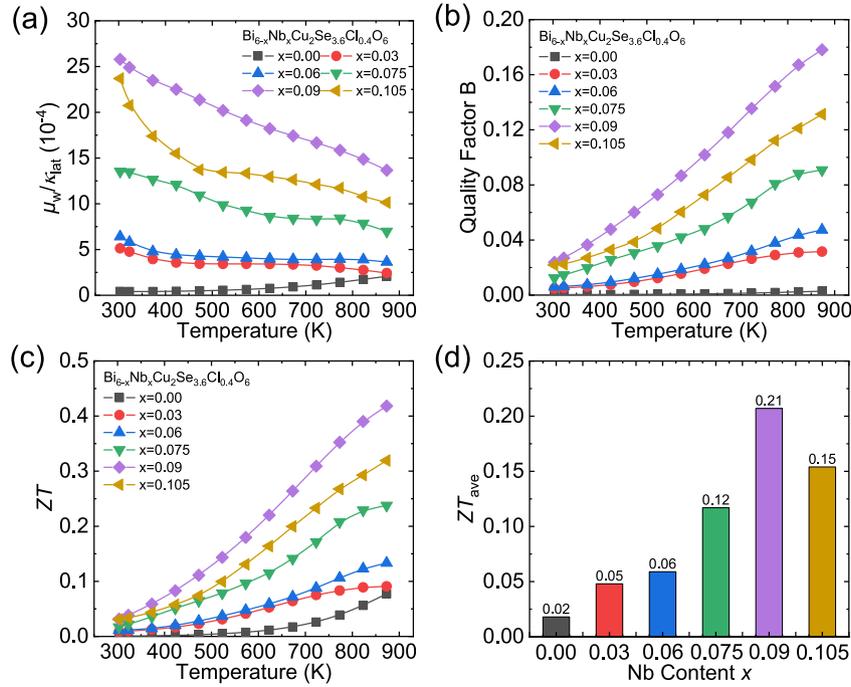


Fig. 10. Thermoelectric performance of $\text{Bi}_{6-x}\text{Nb}_x\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ ($0 \leq x \leq 0.105$): temperature-dependent (a) the ratio of weighted carrier mobility to lattice thermal conductivity, (b) quality factor and (c) ZT values; (d) ZT_{ave} from 303 K to 873 K in different Nb content.

To synthetically evaluate the role of Nb doped in Bi sites, the value of $\mu_w/\kappa_{\text{lat}}$ is quantified and plotted in Fig. 10(a). A sharply optimization can be observed over the entire temperature range after Nb substitution. A significantly improvement of $\sim 660\%$ is obtained in $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ at 873 K. The boost of $\mu_w/\kappa_{\text{lat}}$ indicates that Nb substitution can intensify the phonon scattering but concurrently promote electrons transport. The dimensionless quality factor B , which is proportional to the ZT value, can be quantified by $\mu_w/\kappa_{\text{lat}}$ via Eq. (12) [5,67]:

$$B = 9 \frac{\mu_w}{\kappa_{\text{lat}}} \left(\frac{T}{300} \right)^{\frac{5}{2}} \quad (12)$$

As shown in Fig. 10(b), the quality factor B of each sample presents an upward trend varying with temperature, and possesses obvious enhancements after Nb substitution especially in high temperature range. The significant increase of B also implies the optimization of ZT value. Beneficial from the overall optimized electrical transport properties, although the κ_{tot} is mildly increased, the ZT value over the entire temperature range is worthy of a remarkably increase. Ultimately, the maximal ZT value of ~ 0.4 is acquired in $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ at 873 K, resulting in a $\sim 450\%$ enhancement compared with the $\text{Bi}_6\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ matrix (Fig. 10(c)). The ZT_{ave} from 303 K to 873 K of $\text{Bi}_{6-x}\text{Nb}_x\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ ($0 \leq x \leq 0.105$) is calculated via Eq. (13) and shown in Fig. 10(d):

$$ZT_{\text{ave}} = \frac{1}{T_h - T_c} \int_{T_c}^{T_h} ZT dT \quad (13)$$

where T_h and T_c express the hot-side temperature and the cold-side temperature, respectively. The max ZT_{ave} of ~ 0.21 is acquired in $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$, enhanced by almost a factor of 10 as compared to the $\text{Bi}_6\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$ matrix. It can be mainly attributed to the increase of carrier concentration and weak temperature dependence of resonance levels, which lead to the large increase of PF over the whole temperature region.

4. Conclusions

In summary, a new oxygen-containing n -type $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ -based TE materials with considerable thermoelectric performance were realized. The intrinsic low thermal conductivity of pristine $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ can be attributed to the strong acoustic-optic coupling, soft phonon modes and strong anharmonicity, verified by DFT calculations. Based on Cl doping, Nb substitution at Bi sites not only increases the carrier concentration, but sustially enhances the effective mass by inducing resonant levels around Fermi level, leading to the overall enhancement of electrical properties, with a peak $PF \sim 4.3 \mu\text{V cm}^{-1} \text{K}^{-2}$ at 873 K in $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$. Moreover, Nb doping can further reduce the lattice thermal conductivity, which is well reflected by the reduced phonon mean free path. Apparently, Nb substituent can intensely scatter phonons but concurrently promote electrons transport mainly by inducing the resonant states, reflecting the versatility of Nb doping in $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$. Finally, a maximal $ZT \sim 0.4$ at 873 K and an average $ZT \sim 0.21$ from 303 to 873 K are achieved in n -type $\text{Bi}_{5.91}\text{Nb}_{0.09}\text{Cu}_2\text{Se}_{3.6}\text{Cl}_{0.4}\text{O}_6$. This study broadens the applicability of resonant states to optimize thermoelectric performance as well as promotes the potential application of n -type $\text{Bi}_6\text{Cu}_2\text{Se}_4\text{O}_6$ -based thermoelectric materials in medium temperature range, which is a remarkable achievement for n -type oxygen-containing thermoelectric materials.

Declaration of Competing Interest

The authors declare no conflict of interest.

Acknowledgments

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.actamat.2022.117930.

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